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| (1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE  |   | ATTORNEY'S DOCKET NUMBER<br>10185                    |
| <b>TRANSMITTAL LETTER TO THE<br/>UNITED STATES<br/>DESIGNATED/ELECTED OFFICE<br/>(DO/EO/US) CONCERNING A FILING<br/>UNDER 35 U.S.C. 371</b>  |   | U.S. APPLICATION NO.<br>(if known, sec 37 C.F.R.1.5) |
|  |   | <b>09/214519</b>                                     |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP98/02200  | INTERNATIONAL FILING DATE<br>May 19, 1998 | PRIORITY DATE CLAIMED<br>May 20, 1997                |
| TITLE OF INVENTION<br>OPTICAL MODULATION ELEMENT AND PROJECTION DISPLAY DEVICE   |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Toshiaki HASHIZUME and Shinji HABA  |   |  |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A FIRST preliminary amendment.             <br/><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A small entity statement.</li> <li><input checked="" type="checkbox"/> Other items or information: Request for Approval of Drawing Corrections</li> </ol> |   |  |

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| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) |  | INTERNATIONAL APPLICATION NO.<br>PCT/JP98/02200 |  | ATTORNEY'S DOCKET NUMBER<br>101850 |  |
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|  |              |                 |            |                             |  |                     |  |
|--|--------------|-----------------|------------|-----------------------------|--|---------------------|--|
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><br><b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b><br><br>Search Report has been prepared by the EPO or JPO.....\$840.00<br><br>International preliminary examination fee paid to USPTO<br>(37 CFR 1.482).....\$670.00<br><br>No international preliminary examination fee paid to USPTO<br>(37 CFR 1.482) but international search fee paid to USPTO<br>(37 CFR 1.445(a)(2)).....\$760.00<br><br>Neither international preliminary examination fee (37 CFR<br>1.482) nor international search fee (37 CFR 1.445(a)(2))<br>paid to USPTO.....\$970.00<br><br>International preliminary examination fee paid to USPTO<br>(37 CFR 1.482) and all claims satisfied provisions of PCT<br>Article 33(2)-(4).....\$ 96.00<br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |                 |            | <b>CALCULATIONS</b>         |  | <b>PTO USE ONLY</b> |  |
|  |              |                 |            | \$840.00                    |  |                     |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than<br><input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR<br>1.492(e)).  |              |                 |            | \$                          |  |                     |  |
| Claims   | Number Filed | Number<br>Extra | Rate       |                             |  |                     |  |
| Total Claims   | 17 - 20 =    | 0               | X \$ 18.00 | \$                          |  |                     |  |
| Independent Claims   | 3 - 3 =      | 0               | X \$ 78.00 | \$                          |  |                     |  |
| Multiple dependent claim(s)(if applicable)   |              |                 | + \$260.00 | \$                          |  |                     |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |                 |            | \$840.00                    |  |                     |  |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small<br>Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).  |              |                 |            | \$                          |  |                     |  |
| <b>SUBTOTAL =</b>  |              |                 |            | \$840.00                    |  |                     |  |
| Processing fee of \$130.00 for furnishing the English translation later<br>than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR<br>1.492(f)).  |              |                 |            | \$                          |  |                     |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |                 |            | \$840.00                    |  |                     |  |
|  |              |                 |            | Amount to be<br>refunded \$ |  |                     |  |
|  |              |                 |            | Charged \$                  |  |                     |  |

a. ☒ Check No. 65488 in the amount of \$840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
 OLIFF & BERRIDGE, PLC  
 P.O. Box 19928  
 Alexandria, Virginia 22320

NAME: James A. Oliff  
 REGISTRATION NUMBER: 27,075  
  
 NAME: Thu A. Dang  
 REGISTRATION NUMBER: 41,544

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Toshiaki HASHIZUME and Shinji HABA

Application No.: U.S. National Stage of PCT/JP98/02200

Filed: January 7, 1999

Docket No.: 101850

For: OPTICAL MODULATION ELEMENT AND PROJECTION DISPLAY DEVICE

REQUEST FOR APPROVAL OF DRAWING CORRECTION(S)

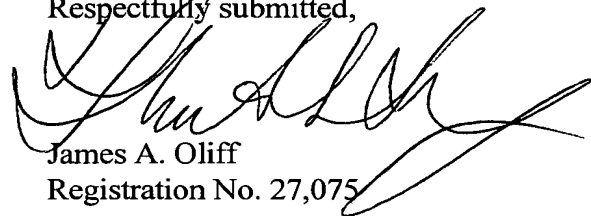
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Examiner is requested to review and approve the proposed corrections to Figures 5 and 7 marked in red on the attached copy of such drawing figures.

Upon approval by the Examiner, and upon allowance of this application, the formal drawings will be corrected.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Thu Anh Dang  
Registration No. 41,544

JAO:TAD/cct

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